# Implementation Guide

# Warfarin - NSAIDs

Prepared by:

Eric Chou

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None of the investigators has any affiliations or financial involvement that conflicts with the material presented in this report.

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(TODO)

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#### Introduction

### **Background**

Ensuring that drug-drug interaction (DDIs) alerts are effective and meaningful is a longstanding clinical informatics issue, with alert fatigue serving as an issue that can negatively impact clinician response and patient safety. Existing alerting systems for DDIs are often simplistic in nature or do not take the specific patient and pharmacological contexts into consideration, leading to false or overly sensitive alerts.

### Audience, Purpose, and Scope of this Implementation Guide

This document is designed to assist developers, clinicians, and pharmacists in applying this artifact toward enhancing clinical decision support (CDS) for potential drug-drug interactions between warfarin and non-steroidal anti-inflammatory drugs (NSAIDs).

## Implementing and Using This Artifact

#### **Description and Purpose of the artifact**

This artifact aims to address risks of bleeding that arise with concurrent use of the drugs warfarin and NSAIDs. With the effectiveness of warfarin in preventing and reducing the occurrence of thromboembolic events and the ability of NSAIDs to damage gastric and duodenal mucosa, potential gastrointestinal bleeding, morbidity, and mortality should especially be taken into account. This artifact provides specific and contextualized alerts to enhance the ability of CDS systems to appropriately deliver pertinent information to the clinician.

### **Primary Use Case**

(TODO)

### **Proposed Alerting Algorithm**

The algorithm first identifies basic concomitant exposures of warfarin and NSAIDs. Among these concomitant exposures, if the patient is on a proton pump inhibitor (PPI) or misoprostol during a concomitant exposure, a Class 2/3 (Yellow) alert is fired. If not, the algorithm then identifies whether or not a patient is able to start on an appropriate PPI. Patients unable to do so yield a Class 1 (Red) alert, while if a patient is able to do so, a Class 2/3 (Yellow) alert is fired.

Drug factors involved:

- Warfarin
- NSAIDs
- PPIs and Misoprostols

## **Guideline Interpretation and Clinical Decisions**

Alert Classifications:

- Class 4: Green: No Special Precautions
- Class 2/3: Yellow: Usually Avoid Combination or Minimize Risk
  - o For Warfarin NSAIDS rule:
    - Recommendation: Assess risk and take action if necessary
    - Rationale: Possible increased risk of bleeding
- Class 1: Red: Avoid Combination
  - o For Warfarin NSAIDS rule:
    - Recommendation: Use only if benefit outweighs risk
    - Rationale: Increased bleeding risk likely

# **Artifact Manifest**

**Table 1: Artifact Manifest** 

Filename	Purpose	Author(s)
warfarin-nsaids-decision-tree- 11012019.pdf	The flow diagram of the decision tree for this algorithm	
warfarin-nsaids.drl	Computable Drools code to implement this algorithm's decision tree	
Warfarin value set	VSAC value set containing RxCUI's for warfarin drugs	
NSAID value set	VSAC value set containing RxCUI's for NSAID drugs	
PPI's and Misoprostols value set	VSAC value set containing RxCUI's for PPI's / Misoprostols drugs	
Discussion forum	Public discussion forum about the warfarin – NSAIDs rule.	

#### **Artifact Relationship Diagram**

## **Testing**

## Implementation Checklist

Boxwala et al.<sup>3</sup> developed a multi-layered knowledge representation framework for structuring guideline recommendations as they are transformed into CDS artifacts. The framework defines four "layers" of representation:

- 1. **Narrative** text created by a guideline or CQM developer (e.g., the recommendation statement described as a sentence).
- 2. **Semi-structured** text that describes the recommendations for implementation as CDS, often created by clinical subject matter experts. It serves as a common understanding of the clinical intent as the artifact is translated in to a fully structured format by software engineers.
- 3. **Structured** code that is interpretable by a computer and includes data elements, value sets, and coded logic.
- 4. **Executable** code that is interpretable by a CDS system at a local level. This code will vary for each site.

This artifact is a **structured** representation of medical knowledge that contains code files that represent the source content (e.g., recommendation statement).

**Figure 2: CDS Artifact Maturity Process** 



Prior to incorporating this artifact in a production setting, implementers should consider the following items:

- Analyze the purpose, clinical statement, and use case sections of this document to ensure
  that your organization understands and agrees with the intended goals of the clinical
  guideline on which this artifact is based.
- Review the "clinical considerations" section of this document (including the decision log in Appendix B) to ensure that your organization understands and agrees with the decisions made during the process to convert the underlying clinical guideline to a structured, computable CDS artifact.
- Technical staff should read through each of the files in the artifact manifest to understand their respective purposes and how they can be successfully incorporated into a clinical IT system. At the time of publication, many COTS EHR systems are unable to use CQL files natively and require a separate application to convert CQL code such that it can be used in those EHR systems. Implementers should work with vendors of their respective health IT products to understand their readiness to implement CQL code and any

potential adverse impacts to existing functionality. In a pilot setting, developers have worked around existing EHR limitations by implementing a web service wrapper around a CQL execution engine. This is a non-trivial amount of work with two primary components:

- o a CQL execution engine with a RESTful web service designed to accept requests for CQL execution and to respond with the calculated results, and
- o modifications to the EHR system such that it will
  - trigger RESTful events to call the CQL execution engine,
  - interpret the response,
  - and reflect the CQL-generated recommendations and suggested actions in the EHR user interface.
- After incorporation into a development environment, the artifact should be exhaustively tested against predefined test cases. Additionally, testing should be conducted to ensure that implementation of the artifact has no adverse effect on the processing efficiency of the health IT system.
- Documentation and training materials for clinical staff should be drafted and distributed. These training materials should include descriptions of modified functionality, directions for interacting with CDS rules (if different than in the current system), and contact information for assistance in the event that functionality does not meet expectations.

#### **Potential Reuse Scenarios**

CQL code within this artifact was developed to enact a particular clinical guideline, but there are portions of the CQL code that are expected to be useful for other purposes.

- The CDS\_Connect\_Commons\_for\_FHIRv102 and FHIRHelpers libraries included in the artifact define commonly used functions in CQL files and are not specific to the Statin Therapy for the Prevention and Treatment of Cardiovascular Disease (CVD) Electronic Clinical Quality Measure (eCQM) artifact. They are expected to be used with any other CQL file that could benefit from those functions.
- Selected code blocks from Statin\_Therapy\_for\_the\_Prevention\_and\_Treatment\_of\_CVD\_eCQM\_Derived\_FHIRv1 02 could be copied and reused in other CQL files. For example, some have expressed interest in the definition of pregnancy (based on the existence of either a condition code or observation code).

### **How Artifact Operates Within CQL**

The Statin Therapy for the Prevention and Treatment of Cardiovascular Disease (CVD) Electronic Clinical Quality Measure (eCQM) artifact is composed of several files, but the primary focus of the artifact is the introduction of CQL files that can be used by any healthcare organization to properly identify populations of patients that require a specific message or clinical intervention. CQL is a data standard governed by Health Level 7 (HL7) that is currently a Standard for Trial Use (STU). CQL expresses logic in a human-readable document that is also

structured enough for electronic processing of a query. It can be used within both the CDS and CQM domains.

If you would like to learn more about CQL, there are a few resources (care of the <u>eCQI Resource</u> <u>Center</u>) that you should review:

- 1. CQL STU Release 1 at HL7
- 2. CQL Tools on GitHub
- 3. CQL Formatting and Usage Wiki
- 4. COL Online
- 5. CQL Q&As on the eCQI Resource Center

## **How Artifact Operates Within Drools**

A JBoss Drools rule engine (version 6.5.0.Final) uses a custom Java-based controller to load data from an OMOP database. A JDBC driver links the database to the rule engine. From the database, entities for each patients' relevant diagnoses, drugs, lab measurements, and other risk factors are identified and used in working memory. The rules written use this data to identify patients that satisfy criteria specified for each decision portrayed in the DDI decision tree. The rule engine iterates on a day-by-day basis throughout a specified study period and outputs alerts and relevant factors that occur on a specific day.

The Drools rule engine is available as a <u>GitHub project</u>. This project includes a <u>Docker container</u> which can be used to virtualize the rule engine so that the audience can customize their own use case by choosing if they want to run one specific rule of interest, or if they have their own OMOP database connection that they wish to input to read data from.

After pulling the docker container using the command docker pull ddicds/idia\_rules, The following command can be used to run the docker container over the default synthetic population:

```
\label{local_docker} \begin{array}{lll} \mbox{docker run -v ~/simulated-run/:/app/simulated-run -it --rm} \\ \mbox{ddicds/idia\_rules:localdb simulated} \end{array}
```

To run the rules over a custom database connection and/or specify a particular rule to isolate in the run, the following additional arguments can be added to the above command:

```
connectionURL={URL} ruleFolder={rule options listed below} schema={schema}
user={user} password={password} sslmode=require
```

The sslmode argument is optional and its presence is dependent on the specific configuration of the database that the user wishes to connect to.

By default, all rules are run, but to specify individual rules, strings that can be passed into the ruleFolder argument include:

- rules acei arb ksparing diuretics
- rules ceftriaxone calcium

- rules\_citalopram\_QT\_agents
  rules\_clonidine\_betablockers
  rules\_epi\_betablockers
  rules\_fluconazole\_opioids
  rules\_immunosuppressants\_fluconazole
  rules\_k\_ksparing\_diuretics
  rules\_warfarin\_antidepressants
  rules\_warfarin\_nsaids
  rules\_warfarin\_salicylates

# **Appendix A: Test Data**

In conjunction with a custom Node.js testing framework, the following data tables were used to test the artifact:

Name of PDDIStatin Therapy for the Prevention and Treatment of Cardiovascular Disease Electronic Clinical Quality Measure 12

Version 1.0 September 2017

Table 2: Class 2/3 (Yellow) Alert Basic Tests

Patient ID	Age	Rule Branch	Warfarin	NSAID	PPI or Misoprostol	RESULT: Recommendation	RESULT: Rationale
1495	73	Patient is on PPI or misoprostol	Warfarin Sodium 10 MG Oral Tablet	Naproxen sodium 550 MG Oral Tablet	Omeprazole 40 MG Delayed Release Oral Capsule	Assess risk and take action if necessary	Possible increased risk of bleeding
1496	56	Patient is not on PPI or misoprostol	Warfarin Sodium 4 MG Oral Tablet	Sulindac 200 MG Oral Tablet	N/A	Assess risk and take action if necessary	Possible increased risk of bleeding
1497	38	Patient is not on PPI or misoprostol	Warfarin Sodium 4 MG Oral Tablet	Sulindac 200 MG Oral Tablet	N/A	Assess risk and take action if necessary	Possible increased risk of bleeding
1498	68	Patient is not on PPI or misoprostol	Warfarin Sodium 10 MG Oral Tablet	Ketorolac Tromethamine 10 MG Oral Tablet	N/A	Assess risk and take action if necessary	Possible increased risk of bleeding
1499	38	Patient is not on PPI or misoprostol	Warfarin Sodium 10 MG Oral Tablet	Ketorolac Tromethamine 10 MG Oral Tablet	N/A	Assess risk and take action if necessary	Possible increased risk of bleeding

# **Appendix B: Decision Log**

The decision log was generated per procedures published by Tso et al.,<sup>4</sup> which incorporates and extends steps that Shiffman et al.<sup>5</sup> outlined for translating clinical practice guidelines to CDS. Brief descriptions of the steps in this process are included in the following table:

**Table 5: Definitions of Shiffman's Steps** 

Decision Category	Definition		
Select Guidelines	Choosing specific guidelines and specific recommendations within the selected guidelines to be implemented		
Markup	Identifying and tagging guideline knowledge components relevant to operationalization		
Atomize	The process of extracting and refining single concepts from the narrative text recommendations		
Deabstract	The process of adjusting the level of generality at which a decision variable or action is described to permit operationalization		
Disambiguate	The process of establishing a single semantic interpretation for a recommendation statement		
Build Executable Statements	Arranging the atomized, de-abstracted, and disambiguated decision variables and actions into logical statements that can be translated readily into computable statements		
Verify Completeness	The process of making sure that each recommendation provides guidance in all situations that a clinician is likely to face		
Add Explanation	A facility to describe the reasoning behind recommendations		
Identify Origin	Identifying a source or origin in the clinical environment for each decision variable		
Insert Recommendations	Identifying an insertion point in the care process for each recommended action		
Define Action Type	Categorizing guideline-recommended activities per predefined action types		
Define Associated Beneficial Services	Linking action types to associated beneficial services that offer design patterns for facilitating clinical care		
Design User Interface	Selecting and grouping user interface elements to best deliver CDS output		

or who receive an order (prescription) for statin therapy at any point during the measurement period.

### **Decision Logs**

Table 6: Decisions Based on "Atomized" Components of the Population Statements

Presence in	"Atomized" Word or	Interpretation or Rationale
Statement	Phrase	

Several decisions were made outside the scope of the atomized words and phrases in the recommendation statements. These additional decisions were made based on the best available clinical knowledge and were encountered at various stages in the artifact development process.

**Table 7: Additional Decisions** 

Decision Category	Concept	Rationale
Select guidelines		
Disambiguate		

Implementation guidance		
Deabstract		
Logic constraints to ensur	re clinical relevance:	
Add explanation		
Add explanation		
Deabstract		

## **Appendix C: Acronyms**

**ACA** Affordable Care Act

**AHRQ** Agency for Healthcare Research and Quality

**CAMH** CMS Alliance to Modernize Healthcare

**CDS** Clinical Decision Support

**CMS** Centers for Medicare & Medicaid Services

COTS Commercial Off-the-Shelf
CQL Clinical Quality Language

**COM** Clinical Quality Measurement

**CVD** Cardiovascular Disease

**eCQI** Electronic Clinical Quality Information

**EHR** Electronic Health Record

**FAR** Federal Acquisition Regulation

**FFRDC** Federally Funded Research and Development Center

**FHIR** Fast Healthcare Interoperability Resources

**HDL** High-Density Lipoprotein

**HHS** Department of Health and Human Services

**HIT** Health Information Technology

**HL7** Health Level 7

IT Information TechnologyLDL Low-Density Lipoprotein

**ONC** Office of the National Coordinator for Health Information Technology

**PCOR** Patient-Centered Outcomes Research

**PCORI** Patient-Centered Outcomes Research Institute

**RSAs** Recommendations and Suggested Actions

**USPSTF** U.S. Preventive Services Task Force

### **Reference List**

- [1] Osheroff J, Teich J, Middleton B, et al. A Roadmap for National Action on Clinical Decision Support. J Am Med Inform Assoc. 2007 Mar-Apr;14(2):141–5. doi: 10.1197/jamia.M2334
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